

# Some model-theoretic aspects of valued Frobenius fields

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# §1 Immediate Expansions

## Zilber's Trichotomy Conjecture(1984)

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### Remark

The true cases have a lot of applications in other areas, e.g. Diophantine geometry. Investigations on various restricted versions of Zilber's Trichotomy conjecture are still being carried out.

## Zilber's Restricted Trichotomy Conj.(2012, open)

“assuming a strongly minimal structure  $M$  is interpretable in an algebraically closed field  $K$  and  $M$  is not locally modular, a field isomorphic to  $K$  is interpretable in  $M$ .”

## Definition

A field  $K$  with a distinguished subring  $V$  satisfying

$$(\forall x \in K^\times) \left[ (x \in V) \vee (x^{-1} \in V) \right]$$

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$\mathcal{L}_r := \{+, -, \times, 0, 1\}$  denotes the **language of rings**.

$\mathcal{L}_{\text{div}} := \mathcal{L}_r \cup \{|\}$  denotes the **language of valued fields** (or valued rings). On a valued field, the division predicate is interpreted as

$$x \mid y \Leftrightarrow \frac{y}{x} \in V.$$

## Remark

Valuations play a large role in the study of fields.

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Valuations play a large role in the study of fields. The model theory of valued fields is also a very important and active area of applied model theory.

## Convention

**Definable:** always presumes parameters from the underlying universe.



## Haskell-Macpherson(1998)

- (1). Assume that  $M$  is an  $\mathcal{L}_{\text{div}}$ -definable set in a valued algebraically closed field  $(K, V)$  and  $M$  is not  $\mathcal{L}_r$ -definable over  $K$ , then  $V$  is  $\mathcal{L}_r \cup \{P_M\}$ -definable over  $K$ .

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- (2). Assume that  $M$  is an  $\mathcal{L}_{\text{div}}$ -definable set in a convexly valued real closed field  $(K, V)$  and  $M$  is not  $\mathcal{L}_r$ -definable over  $K$ , then  $V$  is  $\mathcal{L}_r \cup \{P_M\}$ -definable over  $K$ .

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$M$  a set, on which there is an  $\mathcal{L}_1$ -structure, denoted by  $\mathcal{M}_1$ , and an  $\mathcal{L}_2$ -structure, denoted by  $\mathcal{M}_2$ .

## Definition

$\mathcal{M}_2$  is an **expansion** of  $\mathcal{M}_1$ , and  $\mathcal{M}_1$  a **reduct** of  $\mathcal{M}_2$ , denoted by  $\mathcal{M}_1 \sqsubseteq \mathcal{M}_2$ , if every  $\mathcal{L}_1$ -definable set over  $M$  is already  $\mathcal{L}_2$ -definable over  $M$ .

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## Definition

Suppose that  $\mathcal{L}$  is another first-order language and on  $M$  there is an  $\mathcal{L}$ -structure  $\mathcal{M}$ , such that  $\mathcal{M}_1 \sqsubseteq \mathcal{M} \sqsubseteq \mathcal{M}_2$ , then  $\mathcal{M}$  is called an **intermediate (first-order) structure** between  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , or, of the pair  $(\mathcal{M}_1, \mathcal{M}_2)$ .

## Definition

The two structures  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are said to **have the same (first-order) structure**, denoted by  $\mathcal{M}_1 \approx \mathcal{M}_2$ , if  $\mathcal{M}_1 \sqsubseteq \mathcal{M}_2 \sqsubseteq \mathcal{M}_1$ . Its negation is denoted by  $\mathcal{M}_1 \not\approx \mathcal{M}_2$ .

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$\mathcal{M}_2$  is a **proper expansion** of  $\mathcal{M}_1$ , and  $\mathcal{M}_1$  a **proper reduct** of  $\mathcal{M}_2$ , if  $\mathcal{M}_1 \sqsubseteq \mathcal{M}_2 \not\approx \mathcal{M}_1$ . An intermediate structure  $\mathcal{M}$  between  $\mathcal{M}_1$  and  $\mathcal{M}_2$  is called a **proper intermediate structure** if  $\mathcal{M}_1 \not\approx \mathcal{M} \not\approx \mathcal{M}_2$ .



## Definition

$\mathcal{M}_2$  is an **immediate expansion** of  $\mathcal{M}_1$ , and  $\mathcal{M}_1$  an **immediate reduct** of  $\mathcal{M}_2$ , denoted by  $\mathcal{M}_1 \boxtimes \mathcal{M}_2$  or  $\mathcal{M}_2 \boxtimes \mathcal{M}_1$ , if  $\mathcal{M}_1 \sqsubseteq \mathcal{M}_2$  and there is no proper intermediate structures between  $\mathcal{M}_1$  and  $\mathcal{M}_2$ . The negation of  $\mathcal{M}_2 \boxtimes \mathcal{M}_1$  is denoted by  $\mathcal{M}_2 \boxtimes \nabla \mathcal{M}_1$ .

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## Notation

If  $T_2$  is a theory extending  $T_1$ , then by  $T_2 \boxtimes T_1$ , we mean that for any  $\mathcal{M}_2 \models T_2$ , if its reduct is denoted by  $\mathcal{M}_1 \models T_1$  then we have  $\mathcal{M}_2 \boxtimes \mathcal{M}_1$ .

## Haskell-Macpherson(1998, rephrased)

- (1).  $\text{ACVF} \not\equiv \text{ACF}$ .
- (2).  $\text{RCVF} \not\equiv \text{RCF}$ .

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## Theorem(Hong,2014)

$\text{SCVF} \not\equiv \text{SCF}$ , and the same scenario happens for some valued  $\mathfrak{o}$ -minimal fields.

## Question

What valued field is an immediate expansion of its underlying field?

## Known results

- (1). ACVF  $\not\equiv$  ACF.
- (2). RCVF  $\not\equiv$  RCF.
- (3). SCVF  $\not\equiv$  SCF, and the same scenario happens for some valued o-minimal fields.
- (4). Fields with  $\mathcal{L}_R$ -definable valuations, e.g. global fields, local fields, some Henselian valued fields.



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## Remark

A family of examples of valued fields,  $K(x_i, i \in \omega + \omega)$ , which are non-immediate expansions of their underlying fields were given by Delon(2012).

## Question

PACVF  $\not\equiv$  PACF?

- ▶ PACVF is the  $\mathcal{L}_{\text{div}}$ -theory of pseudo-algebraically closed nontrivially valued fields.
- ▶ PACF is the  $\mathcal{L}_r$ -theory of pseudo-algebraically closed fields.

## §2 Frobenius Fields

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- (1). Separably closed fields are PAC.
- (2).  $(\text{Ax})$  Pseudo-finite fields (i.e. infinite models of the theory of all finite fields) are PAC.
- (3). (Jarden, The PAC Nullstellensatz) For almost all finite tuples of the absolute Galois group of a countable Hilbertian field, their fixed fields are PAC.



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- (6). (Duret) PAC+NIP=separably closed.
- (7). (Ax, projectivity) If  $K$  is PAC and  $A, B$  are profinite groups, then for any epimorphisms  $\rho : \text{Gal}(K) \rightarrow A$  and  $\alpha : B \rightarrow A$ , there exists a homomorphism  $\gamma : \text{Gal}(K) \rightarrow B$  such that  $\rho = \alpha \circ \gamma$ .

## Decidability results

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- (5). (Cherlin-van den Dries-MacIntyre) The theory of PAC fields is undecidable.
- (6). (Haran-Lubotzki) The first-order theory of Frobenius fields is decidable.

## Definition

A PAC field  $K$  is called a **Frobenius field** if  $\text{Gal}(K)$  satisfies the Embedding Property: for any profinite group epimorphisms  $\zeta : \text{Gal}(K) \rightarrow A$  and  $\alpha : B \rightarrow A$  with  $B$  a finite quotient of  $\text{Gal}(K)$ , there exists an epimorphism  $\gamma : G \rightarrow B$  such that  $\zeta = \alpha \circ \gamma$ .

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## Remark

These are both first-order in  $\mathcal{L}_T$ .



# §3 Quantifier Elimination

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## Theorem(Cherlin-van den Dries-MacIntyre)

The theory of perfect Frobenius fields in the Galois formalism admits quantifier elimination.

The proof relies on the following important Embedding Lemma.

## The Embedding Lemma(Jarden-Kiehne)

Let  $E/L$  and  $F/M$  be separable field extensions satisfying:  $E$  is countable and  $F$  is PAC and  $\aleph_1$ -saturated. Suppose that there are an isomorphism  $\Phi_0 : L_s \rightarrow M_s$  with  $\Phi_0(L) = M$  and a commutative diagram

$$\begin{array}{ccc} \text{Gal}(E) & \xleftarrow{\varphi} & \text{Gal}(F) \\ \text{res} \downarrow & & \downarrow \text{res} \\ \text{Gal}(L) & \xleftarrow{\varphi_0} & \text{Gal}(M) \end{array}$$

where  $\varphi_0$  is the isomorphism induced by  $\Phi_0$  and  $\varphi$  is a homomorphism. If  $\text{char}(L) = p > 0$ , then suppose furthermore that  $[E : E^p] \leq [F : F^p]$ .

Then there exists an extension of  $\Phi_0$  to an embedding  $\Phi : E^{\text{sep}} \rightarrow F^{\text{sep}}$  which induces  $\varphi$  with  $F/\Phi(E)$  separable.

## Theorem-definition

Suppose that  $K$  is a field with  $\text{char}(K) = p > 0$ . Then there exists a unique  $e \in \omega \cup \{\infty\}$  such that  $[K : K^p] = p^e$ . This  $e$  is called the **exponent of imperfection** of  $K$  and  $[K : K^p]$  the **degree of imperfection**.



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A set of elements  $b_0, \dots, b_{n-1} \in K$  are **p-independent** in  $K$  if all the  $p$ -monomials in  $b_0, \dots, b_{n-1}$  of the form

$$\prod_{j=0}^{n-1} b_j^{i(j)}, \quad i : n \rightarrow p$$

are linearly independent over  $K^p$ .

## Theorem-definition

Suppose that  $K$  is a field with  $\text{char}(K) = p > 0$ . Then there exists a unique  $e \in \omega \cup \{\infty\}$  such that  $[K : K^p] = p^e$ . This  $e$  is called the **exponent of imperfection** of  $K$  and  $[K : K^p]$  the **degree of imperfection**.

A set of elements  $b_0, \dots, b_{n-1} \in K$  are **p-independent** in  $K$  if all the  $p$ -monomials in  $b_0, \dots, b_{n-1}$  of the form

$$\prod_{j=0}^{n-1} b_j^{i(j)}, \quad i : n \rightarrow p$$

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 $[K : K^p] = p^e$  iff  $K$  has a  $p$ -basis with exactly  $e$  elements.

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A field extension  $L/K$  is **separable** if the  $p$ -independence relation is preserved. If furthermore,  $K$  is relatively algebraically closed in  $L$ , then  $L/K$  is said to be **regular**.

## Definition (Srouf, 1986)

Suppose that  $K$  is a field of positive characteristic  $p$ . When  $n > 0$  and  $0 \leq i \leq p^n$ , we define the **relative  $p$ -coordinate functions**  $\lambda_{n,i}(x; y_1, \dots, y_n)$  as follows: if  $y_1, \dots, y_n$  are not  $p$ -independent in  $K$  or  $x \notin K^p(y_1, \dots, y_n)$ , then  $\lambda_{n,i}(x; y_1, \dots, y_n) = 0$ , otherwise  $\lambda_{n,i}(x; y_1, \dots, y_n)$  is the  $p$ -th root of the unique  $i$ -th coefficient of  $x$  with respect to  $y_1, \dots, y_n$  when  $x$  is written as a linear combination of  $p$ -monomials in  $y_1, \dots, y_n$  over  $K^p$ . If  $n = 0$ , then we defined  $\lambda_{n,i}(x) = \lambda_{0,0}(x)$  to be  $x^{1/p}$  if  $x \in K^p$ , 0 if  $x \notin K^p$ .

## Definition

Given  $n + 1$  elements  $a_0, \dots, a_{n-1}, a_n$  in a field  $K$ , denote that the maximal splitting factor of

$$a_n X^n + a_{n-1} X^{n-1} + \dots + a_1 X + a_0$$

over  $K$  is

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where  $b_n, \dots, b_0 \in K$ , some of which could be zeros. For each  $0 \leq i \leq n$ , we define the  $i$ -th **splitting coefficient** to be

$$\theta_{n,i}(a_0, a_1, \dots, a_n) := b_i.$$



## Notation

$$\mathcal{L}_{\theta,p,\text{div}} := \mathcal{L}_{\text{div}} \cup \{\lambda_{n,i}\}_{n,i} \cup \{\theta_j\}_j.$$

## Theorem(FrobVF<sub>p<sup>e</sup></sub> has QE)

For any  $e \in \omega \cup \{\infty\}$ , let FrobVF<sub>p<sup>e</sup></sub> be the theory of Frobenius non-trivially valued fields of characteristic  $p$  with exponent of imperfection  $e$  in

$$\mathcal{L}_{\theta,p,\text{div}} \cup \{I_G\}_G \text{ a finite group,}$$

where

$$K \models I_G \Leftrightarrow \text{“}\exists L/K \text{ such that } \text{Gal}(L/K) \cong G\text{”}.$$

Then FrobVF<sub>p<sup>e</sup></sub> has quantifier elimination.

## Valuation Theoretic Embedding Lemma

Suppose that valued fields  $(E, V_E)$  and  $(F, V_F)$  extend  $(L, V_L)$  and  $(M, V_M)$  resp. with  $E/L$  and  $F/M$  regular field extensions, that  $F$  is PAC and  $|E|^+$ -saturated in  $\mathcal{L}_{\text{div}}$  with the exponent of imperfection of  $E$  not more than that of  $F$ , and that there is a field isomorphism  $\Phi_0 : L^{\text{sep}} \rightarrow M^{\text{sep}}$  and a commutative diagram

$$\begin{array}{ccc} \text{Gal}(E) & \xleftarrow{\varphi} & \text{Gal}(F) \\ \text{res} \downarrow & & \downarrow \text{res} \\ \text{Gal}(L) & \xleftarrow{\varphi_0} & \text{Gal}(M), \end{array}$$

where  $\varphi_0$  is induced by  $\Phi_0$ ,  $\varphi$  is a homomorphism, and  $\Phi_0$  restricts to an  $\mathcal{L}_{\text{div}}$ -isomorphism from  $(L, V_L)$  onto  $(M, V_M)$ . Then there exists an extension of  $\Phi_0$  to a field embedding  $\Phi : E^{\text{sep}} \rightarrow F^{\text{sep}}$  that induces  $\varphi$  with  $F/\Phi(E)$  separable and that  $\Phi$  restricts to an  $\mathcal{L}_{\text{div}}$ -embedding from  $(E, V_E)$  into  $(F, V_F)$ . If furthermore  $\varphi$  is surjective, then  $F/\Phi(E)$  is regular.

## Corollary(PACVF $_{p^e}^\omega$ has QE)

For any  $e \in \omega \cup \{\infty\}$ , the theory of  $\omega$ -free pseudo-algebraically closed non-trivially valued fields of characteristic  $p$  with exponent of imperfection  $e$  in  $\mathcal{L}_{\theta,p,\text{div}}$ , denoted by PACVF $_{p^e}^\omega$ , admits quantifier elimination.

# §3 The Denseness Property

## Kollár's Density Theorem(Kollár, 2007)

Suppose that  $K$  is a PAC field,  $V$  a non-trivial valuation on  $K^{\text{alg}}$ . Then for any geometrically integral  $K$ -variety  $X$ ,  $X(K)$  is  $V$ -dense in  $X(K^{\text{alg}})$ .

## Theorem(Bary-Soroker, 2012)

Let  $K$  be a PAC field,  $Y$  an absolutely irreducible smooth  $K$ -variety with ring of regular functions  $R$ ,  $f(X) \in R[X]$  a separable monic polynomial, and  $P$  a partition of  $\deg(f)$ . Assume that the induced embedding problem has a solution whose orbit type is  $P$ . Then there exists a Zariski dense set  $\mathfrak{p} \in Y(K)$  such that  $\varphi_{\mathfrak{p}}(f)$  is a separable polynomial of factorization type  $P$ .

## Corollary

Let  $K$  be a pseudo-algebraically closed field,  $W$  a valuation ring on  $K^{\text{alg}}$ ,  $Y$  a geometrically integral smooth  $K$ -variety with its ring of regular functions  $R$ ,  $f(X) \in R[X]$  a separable monic polynomial,  $P$  a partition of  $\deg f$ . Assume that the induced embedding problem has a solution whose orbit type is  $P$ . Then there exists a  $W$ -dense subset  $\mathfrak{p} \in Y(K)$  of  $Y(K^{\text{alg}})$  such that  $\varphi_{\mathfrak{p}}(f)$  is a separable polynomial of factorization type  $P$ .



## Theorem

Suppose that  $(K, V) \models \text{PACVF}_{p^e}^\omega$  for a natural number  $e < \infty$  and that  $W$  is a valuation on  $K^{\text{alg}}$  extending  $V$ . Then every  $\mathcal{L}_{\theta, p, \text{div}}$ -definable set in  $K$  is  $W$ -dense in an  $\mathcal{L}_{\text{div}}$ -definable set in  $K^{\text{alg}}$  with parameters from  $K$ . More precisely, if  $\varphi(\mathbf{x}, \mathbf{a})$  is an  $\mathcal{L}_{\theta, p, \text{div}}$ -formula with  $\mathbf{a} \in K^n$ , then there exists an  $\mathcal{L}_{\text{div}}$ -formula  $\tilde{\varphi}(\mathbf{x}, \mathbf{b})$  with  $\mathbf{b} \in K^m$  such that  $\varphi(K)$  is a  $W$ -dense subset of  $\tilde{\varphi}(K^{\text{alg}})$ .

To be proved recently

$\text{PACVF}_{p^e}^\omega \not\equiv \text{PACF}_{p^e}^\omega.$

Thank you!